New Entrant Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (January 2018)

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

Parker, James

Senior Advisor for Health Reform & Director, Office of Health Reform, Department of Health & Human Services

Date of Appointment: 04/30/2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Parker, James [electronically signed on 03/28/2018 by Parker, James in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 06/06/2018 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Lee, Steven, Ethics Official [electronically signed on 04/26/2018 by Lee, Steven in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/06/2018

Data Revised 06/04/2018

Data Revised 05/22/2018

Data Revised 05/21/2018

Data Revised 04/23/2018

Data Revised 04/20/2018

Data Revised 04/19/2018

Data Revised 04/18/2018

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(06/06/2018, Olesh, Stanley): 6/6/18 - rec'd signed EA SO
N/A	N/A	General	(06/06/2018, Olesh, Stanley): Will be divesting VoCare stock SO

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	_	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	то
1	MDwise, Inc. (an affiliate of McLaren Health Care)		Indianapolis, Indiana	Non-Profit	President & CEO	1/2018	4/2018
2	Indiana University Health Inc.		Indianapolis, Indiana	Non-Profit	President, Indiana University Health Plans Inc (subsidiary of IU Health)	6/2012	12/2017
3	Meridian Strategic Advisors LLC, dba Health Market Strategies		Indianapolis, Indiana	Corporation	President	3/2009	4/2018
4	Foundation for HealthSmart Consumers	See Endnote	Basking Ridge, New Jersey	Non-Profit	Fellow	1/2012	4/2018
5	YMCA of Greater Indianapolis		Indianapolis, Indiana	Non-Profit	Board Member; Chair, Wellness Committee	6/2016	4/2018
6	Indiana Sports Corp		Indianapolis, Indiana	Non-Profit	Board Member	1/2006	12/2017
7	Mac-Park, LLC		Columbus, Ohio	Corporation	President	7/2014	Present
8	VoCare, Inc.		Indianapolis, Indiana	Corporation	Director	7/2011	4/2017
9	MDWise, Inc.	See Endnote	Indianapolis, Indiana	Non-Profit	Chairman, Board of Directors	6/2012	12/2017
10	Indiana University Health Inc. (subsidiary: IU Health West Hospital)	See Endnote	Indianapolis, Indiana	Non-Profit	Member, Board of Directors	1/2013	12/2017
11	Indiana University Health Inc.(subsidiary The Health Care Group Inc.)	See Endnote	Indianapolis, Indiana	Non-Profit	Member, Board of Directors	6/2012	3/2017

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	то
12	Indiana University Health Inc. (subsidiary IU Health Plans Inc.)	See Endnote	Indianapolis, Indiana	Non-Profit	Member, Board of Directors	6/2012	3/2017

# 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MDwise, Inc. (an affiliate of McLaren Health Care)		N/A		Salary/Bonus	\$106,680
2	Indiana University Health					
2.1	Indiana University Health Plans Inc				2017 salary/bonus	\$498,116
2.2	Indiana University Health Plans Inc				Balance of 2017 salary paid in 2018.	\$8,717
2.2.1	Indiana University Health Plans Inc				PTO/Employme nt benefits	\$80,058
2.3	Indiana University Health Plans Inc (severance)				Filer is due to receive approximately \$453,278.00 to be paid bi- weekly in 26 installments of \$17433.75 which began in January 2018.	\$139,470
3	Meridian Strategic Advisors, dba Health Market Strategies (Healthcare consultancy.)	See Endnote	N/A		Consultancy fees.	\$35,184
4	IRA		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.2	iShares MSCI Eurozone ETF (EZU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.3	Schwab Emerging Markets Equity ETF (SCHE)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.4	WisdomTree Japan Hedged Equity Fund (DXJ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.5	Hennessy Japan Fund Institutional Class (HJPIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Invesco Equally-Weighted S&P 500 Fund Class Y (VADDX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.7	Matthews Japan Fund Investor Class (MJFOX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.8	Parnassus Core Equity Fund - Institutional Shares (PRILX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
4.9	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4.10	T. Rowe Price Japan Fund (PRJPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Vanguard Dividend Growth Fund Investor Shares (VDIGX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.12	Schwab Value Advantage Money Fund (SWVXX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.13	Money Market Account (Cash)		\$100,001 - \$250,000		None (or less than \$201)
5	Indiana University Health 401(k)/403(b) Retirement Plans				

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Vanguard Developed Markets ldx Instl (VDIPX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.2	Vanguard Emerging Mkts Stock ldx I (VEMIX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	Vanguard Extended Market ldx Instl (VEMPX)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.4	Vanguard Institutional Index Instl Pl (VIIIX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5.5	Vanguard Developed Markets ldx Instl (VDIPX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	Vanguard Emerging Mkts Stock ldx I (VEMIX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	Vanguard Extended Market ldx Instl (VEMPX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Vanguard Institutional Index Instl Pl (VIIIX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.9	PIMCO Total Return Instl (PTTRX)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.10	Vanguard Inflation-Protected Secs I (VIPIX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
6	VoCare, Inc.	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	VoCare, Inc.	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)

# 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Indiana University Health Retirement Plans	Indianapolis, Indiana	I will continue to own the assets contained in my 401(k) and 403(b) accounts. Neither I, nor my former employer will make contributions in these accounts during my appointment.	12/2017
2	Indiana University Health (severance)	Indianapolis, Indiana	Pursuant to the terms of my standard executive employment agreement, I am due to receive approximately \$453,278.00 representing severance to be paid bi-weekly in 26 installments of \$17433.75 which began in January 2018 and will terminate on 31 December 2018.	1/2018
3	MDwise, Inc. (an affiliate of McLaren Health Care)	Indianapolis, Indiana	I am due to receive approximately \$15,000 on or before May 15, 2017 representing the balance of my salary and PTO earned by me up to the date of my resignation.	4/2017

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	_	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Foundation for HealthSmart Consumers	See Endnote	Basking Ridge, New Jersey	Provide advisory services regarding identifying strategies for growth in the healthcare industry.
2	MDwise, Inc. (an affiliate of McLaren Health Care)		Indianapolis, Indiana	Responsible for providing strategic leadership for the company working with the Parent company and management to establish long-range and short-term plans ans policies. Plan, develop, organize, implement, direct and evaluate the organizations fiscal health and performance.
3	Mac-Park, LLC		Columbus, Ohio	Responsible for overseeing the management of properties held by the LLC, managing the LLC's finances and overseeing the preparation and filing of all required legal and tax documents.`
4	Indiana University Health		Indianapolis, Indiana	Responsible for providing strategic leadership for the company working with the Parent company and management to establish long-range and short-term plans ans policies. Plan, develop, organize, implement, direct and evaluate the organizations fiscal health and performance.
5	Meridian Strategic Advisors, dba Health Market Strategies		Indianapolis, Indiana	Provide consultant services to leading healthcare organizations to identify strategies to improve performance and and fiscal health.

# 5. Spouse's Employment Assets & Income and Retirement Accounts

## None

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Nebraska Educational Savings Trust 529 - DC-C - Age-Based Index 19+		Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Nebraska Educational Savings Trust 529 - DC-C- Goldman Sachs Financial Square Money Market		Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Nebraska Educational Savings Trust 529 - DC -J - Age-Based Index 19+		Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Nebraska Educational Savings Trust 529 - DC - J - Goldman Sachs Financial Square Money Market		Yes	\$100,001 - \$250,000		None (or less than \$201)
5	Lincoln Financial Universal Life Insurance Policy		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6	Lincoln Financial Universal Life Insurance Policy		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7	Lincoln Financial Universal Life Insurance Policy		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
8	US Bank account (cash)		N/A	\$1,001 - \$15,000	)	None (or less than \$201)
9	VoCare, Inc.	See Endnote	N/A	\$50,001 - \$100,000		None (or less than \$201)
10	Brokerage Account #1					

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.1	iShares Currency Hedged MSCI Eurozone ETF (HEZU)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
10.2	iShares MSCI Eurozone ETF (EZU)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
10.3	Schwab Emerging Markets Equity ETF (SCHE)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10.4	WisdomTree Japan Hedged Equity Fund (DXJ)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10.5	HENNESSY JAPAN FD INST (HJPIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.6	Invesco Equally-Weighted S&P 500 Fund Class Y (VADDX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10.7	Matthews Japan Fund Investor Class (MJFOX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
10.8	Parnassus Core Equity Fund - Institutional Shares (PRILX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.9	T. Rowe Price Japan Fund (PRJPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.10	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.11	Vanguard Dividend Growth Fund Investor Shares (VDIGX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
10.12	Schwab Value Advantage Money Fund (SWVXX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
10.13	JPMORGAN HIGH YIELD FD SLCT CL (OHYFX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
10.14	SCH ADV CASH RESRV PREM (SWZXX)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.15	VANGUARD HIGH YIELD CORP FUND ADMIRAL SHARE (VWEAX)		Yes	None (or less than \$1,001)		\$201 - \$1,000
10.16	Money Market Account (CASH)			\$100,001 - \$250,000		None (or less than \$201)
11	Cryptocurrency		N/A			
11.1	Binance (BNB) On Binance Exchange		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2	Bitcoin (BTC) on Binance Exchange		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.3	DASH On Bittrex Exchange		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.4	Lumen (XLM) On Bittrex Exchange		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.5	Bitcoin (BTC) On Coinbase Exchange		N/A	\$1,001 - \$15,000		None (or less than \$201)
11.6	Bitcoin (BTC) on Nano Ledger Exchange		N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Brokerage Account #2					
12.1	FIDELITY GOVERNMENT MONEY MARKET (SPAXX)		Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
12.2	ROLLS ROYCE HOLDINGS SPON ADR EACH REP (RYCEY)		N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
13	Mac-Park, LLC ( Residential Real Estate Management owns 6 residential rental properties in Columbus, OH)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000

# 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## **Endnotes**

PART	#	ENDNOTE
1.	4	All income paid for my services to this entity are paid to Meridian Strategic Advisors LLC.
1.	9	During the period of March 2017 to December 2017 IU Health had a contractual agreement with MDWise Inc for my contractual services as President and CEO of MDWise Inc. All income received by me for this position was paid by IU Health. I ceased to be employed by IU Health on December 31, 2017.
1.	10	Position Held as part of official duties
1.	11	Position Held as part of official duties
1.	12	Position Held as part of official duties
2.	3	Fees/Fellowship stipends received from Foundation for HealthSmart Consumers (FHSC) was paid to Meridian Strategic Advisors LLC of which I am the sole proprietor. The Fellows agreed to forego the stipends for 2018, so there is no amount owing from FHSC).
2.	6	Director fees paid in the form of VoCare, Inc. stock totaling 35,000 shares with a par value of \$1.00 per share.

PART	#	ENDNOTE
2.	7	Compensation for consulting services performed on behalf of VoCare, Inc. was paid in part through the establishment of a Securities Purchase Agreement. On February 18, 2018, VoCare, Inc. and I agreed to convert all obligations due under the Agreement to equity in VoCare, Inc., at One Dollar per share for a total of Eighty One Thousand One Hundred Fifty Six (81,156) shares in full satisfaction of the terms of the Securities Purchase Agreement.
4.	1	Monies paid to Meridian Strategic Advisors, LLC.
6.	9	50,000 shares of VoCare, Inc. stock with a par value of \$1.00 per share.
6.	13	Owned jointly with spouse and a non-relative friend of the family. LLC owns and manages residential-only units within (no commercial usage). Value category reflects 50% share owned by filer and spouse SO

## Summary of Contents

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person. subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).